



I hereby certify that this correspondence is being filed by depositing it with the United States Postal Service as first class mail in an envelope with sufficient postage and addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the date indicated below.

Date: June 22, 2001

Signed: 

Peter K. Trzyna (Reg. No. 32,601)

PATENT

Paper No. 4

File: Peregrin-P1-00

#3  
H. S. For

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor : William John Delinsky, Timothy James Fish, Michael D. Morency, David Gary Walker

Serial No. : 09/692,697

Filed : October 19, 2000

For : A REFFERRER-CONTROLLED SYSTEM FOR TRANSFERRING AN INBOND COMMUNICATION TO ONE OF A PLURALITY OF FINANCIAL ASSISTANCE PROVIDERS

Group Art Unit : 2161

Examiner :

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

S I R :

Please enter the following enclosed documents in the above-identified patent application.

1. Response to Notice to File Missing Parts of Nonprovisional Application;
2. Notice to File Missing Parts of Nonprovisional Application;
3. Combined Declaration and Power of Attorney; and
4. Verified Statement (Declaration) Claiming Small Entity Status (37 C.F.R.


§1.9(f) and 1.27(c))-Small Business Concern.

The Commissioner is hereby authorized to charge any fees associated with the above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: June 22, 2001

  
\_\_\_\_\_  
Peter K. Trzyna  
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#3

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/692,697	10/19/2000	William John Delinsky	PEREGRIN-P1-00

CONFIRMATION NO. 8755

## FORMALITIES LETTER



\*OC000000005994114\*

Peter K. Trzyna  
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Date Mailed: 04/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/09/2001 BSAYAS11 00000106 500235 09692697

FILED UNDER 37 CFR 1.53(b)

01 FC:201	355.00 CH
02 FC:202	80.00 CH
03 FC:203	369.00 CH
04 FC:204	135.00 CH
05 FC:205	65.00 CH

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1168.
  - \$738 for 41 total claims over 20.
  - \$160 for 2 independent claims over 3 .
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2008.

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*A copy of this notice **MUST** be returned with the reply.*

  
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE